SEC Form 3

FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Thomas Ragy			2. Date of Event Re Statement (Month/D 06/22/2021		3. Issuer Name and Ticker or Trading Symbol Sprinklr, Inc. [ CXM ]						
(Last) (First) (Middle) C/O SPRINKLR, INC. 29 WEST 35TH STREET, 7TH FLOOR				4. Relationship of Reporting Perso (Check all applicable) X Director X Officer (give title below)		10% Owner Other (specify below)		<ul> <li>5. If Amendment, Date of Original Filed (Month/Day/Year) 06/22/2021</li> <li>6. Individual or Joint/Group Filing (Check Applicable Line)</li> </ul>			
(Street) NEW YORK NY 10001 (City) (State) (Zip)					Chief Executive	Officer		X Form filed by One Reporting Person Form filed by More than One Reporting Person			
			Table I - Non	-Derivat	tive Se	curities Beneficially	Owned				
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock						110,445(1)	I		By spouse		
						rities Beneficially O ptions, convertible s					
1. Title of Derivative Security (Instr. 4)			Expiration Da	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securitie Derivative Security (Instr. 4)		4. Conve or Exe	rcise	5. Ownership Form: Direct (D) or	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Date	Expiration	n Title		Amount or Number	Price c Deriva Securi	tive	Indirect (I) (Instr. 5)	

## Explanation of Responses:

1. Each share of Common Stock was reclassified into one share of Class B Common Stock immediately prior to the completion of the Issuer's initial public offering of Class A Common Stock.

Remarks:

The holdings reported herein were inadvertently omitted for the Report Person's initial Form 3.

/s/ Jason Minio, Attorney-in-Fact 11/16/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.